

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ALEXANDRIA, VA

In re

Application

of

CORNETT et al.

Serial No.:

10/635,126

Filed:

08/06/2003

For:

ELECTRICAL ROUGH-IN BOX

FOR LOW VOLTAGE

TRANSFORMER

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(b)

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the Examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

U.S. REFERENCES CITED:

<u>Patent</u>	<u>Inventor</u>	<u>Date</u>
1,286,811	Sheransky	December 3, 1918
1,929,844	Haas	October 10, 1933
2,028,509	Knell	January 21, 1936
2,887,525	Lewus	May 19, 1959
3,360,752	Uptegraff, Jr.	December 26, 1967
3,365,535	Wilk	January 23, 1968
3,472,945	Trachtenberg	October 14, 1969
3,579,044	Phillips, Jr.	May 18, 1971

Patent	Inventor	Data
	Inventor	<u>Date</u>
3,636,236	Smith	January 18, 1972
4,059,327	Vann	November 22, 1977
4,202,457	Tansi	May 13, 1980
4,685,581	Kaneda et al.	August 11, 1987
4,810,897	Shotey	March 7, 1989
5,177,325	Giammanco	January 5, 1993
5,289,934	Smith et al.	March 1, 1994
5,257,946	MacMillan et al.	November 2, 1993
5,350,884	Littrell	September 27, 1994
5,445,539	Dale	August 29, 1995
5,486,650	Yetter	January 23, 1996
5,510,948	Tremaine et al.	April 23, 1996
5,574,256	Cottone	November 12, 1996
5,594,207	Fabian et al.	January 14, 1997
5,659,151	Dale	August 19, 1997
5,735,710	Blaauboer et al.	April 7, 1998
6,080,013	Beland et al.	June 27, 2000
6,218,613	Justiniano et al.	April 17, 2001
6,239,365	McEvers	May 29, 2001
6,300,567	Hayduke et al.	October 9, 2001
6,395,979	English	May 28, 2002
6,395,981	Ford et al.	May 28, 2002
US 2001/0022301 A1	O'Donnell	September 20, 2001

Certification Under 37 CFR §1.97(b)

This information is being submitted before the mailing date of a first Office action on the merits. As such, no fee is due for its submission.

Respectfully submitted,

John R. Salazar, Reg. No. 39,353 MIDDLETON REWILINGER 2500 Brown & Williamson Tower

Louisville Venturin 40202

Louisville, Kentucky 40202

Date: January 13, 2004

Encl: Form PTO/SB/21; PTO/SB/08A (including a copy of the references); Return postcard for

evidencing receipt of above items

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

08/06/2003

CORNETT

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Filing Date

Art Unit

First Named Inventor

Complete if Known Substitute for form 1449/PTO **Application Number** 10/635,126

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)		Examiner Name
Sheet 1	of 2	Attorney Docket Number ZM466/03003

			U. S. PATENT	DOCUMENTS	_
Examine Initials*	er Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ATP	E	Number-Kind Code ^{2 (# known)}	10.00.1010		Figures Appear
0	1	^{US-} 1,286,811	12-03-1918	SHERANSKY	
	1 6	N ^{US-} 1 929 844	10-10-1933	HAAS	
JAN 1 F	6 2004	US- 2,028,509	01-21-1936	KNELL	
		^{US-} 2,887,525	05-19-1959	LEWUS	
TRAD	248	^{US-} 3,360,752	12-26-1967	UPTEGRAFF, JR.	
TRAD	EMAL	^{US-} 3,365,535	01-23-1968	WILK	
		^{US-} 3,472,945	10-14-1969	TRACHTENBERG	
		^{US-} 3,579,044	05-18-1971	PHILLIPS, JR.	
		^{US-} 3,636,236	01-18-1972	SMITH	
		^{US-} 4,059,327	11-22-1977	VANN	
		^{US-} 4,202,457	05-13-1980	TANSI	
		^{US-} 4,685,581	08-11-1987	KANEDA et al.	
		^{US-} 4,810,897	03-07-1989	SHOTEY	
		^{US-} 5,177,325	01-05-1993	GIAMMANCO	
		^{US-} 5,289,934	03-01-1994	SMITH et al.	
		^{US-} 5,257,946	11-02-1993	MacMILLAN et al.	
		^{US-} 5,350,884	09-27-1994	LITTRELL	
		^{US-} 5,445,539	08-29-1995	DALE	
		^{US-} 5,486,650	01-23-1996	YETTER	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY	''	Or Relevant Figures Appear	T
						╄
						┢
						┢
						┢

Examiner	Date	
Signature	Considered	
	1	1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/635,126 Filing Date 08/06/2003 First Named Inventor CORNETT

Art Unit

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

Altonic	
Examiner Name	
 Attorney Docket Number	ZM466/03003

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
01.6	7	^{US-} 5,510,948	04-23-1996	TREMAINE et al.	
	7	\ ^{US-} 5,574,256	11-12-1996	COTTONE	
AN 1 6 2	004	^{US-} 5,594,207 ^{US-} 5,659,151	01-14-1997	FABIAN et al.	
	. 14	^{US-} 5,659,151	08-19-1997	DALE	
	NO.	^{US-} 5,735,710	04-07-1998	BLAAUBOER et al.	
RADEM		^{US-} 6,080,013	06-27-2000	BELAND et al.	
		^{US-} 6,218,613	04-17-2001	JUSTINIANO et al.	
		^{US-} 6,239,365	05-29-2001	McEvers	
		^{US-} 6,300,567	10-09-2001	HAYDUKE et al.	
		^{US-} 6,395,979	05-28-2002	ENGLISH	
		^{US-} 6,395,981	05-28-2002	FORD et al.	
		^{US-} 2001/0022301 A1	09-20-2001	O'DONNELL	
		US-			·
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 "} Number ^{4 "} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵

Examiner		Date	
Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.